IDENTIFY YOUR MODEL LINE-UP

What are your baseline models for each investor type?

- **Conservative:** _____ _____

- **Moderate:** _____ _____

- **Growth:** _____ _____

- **Aggressive:** _____ _____

How do the investment models inter-relate?

_____
_____
_____
_____

Is there too much overlap across clients?

_____
_____
_____
_____

What role does each investment play in the portfolio? _____
_____
_____
_____

Can you clearly describe this to your clients? _____
_____
_____
_____

What is the procedure for monitoring each investment in the models? _____
_____
_____
_____

What is the criteria to make a change?

_____
_____
_____
_____

How do I determine which client belongs in each model? _____
_____
_____

What determines when I will tweak the model?_____
_____
_____